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**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Angela Beddawi

Applicant : Craig L. Ogg, et al.  
Application No. : 09/688,452  
Filed : October 16, 2000  
Title : **ROLE ASSIGNMENTS IN A CRYPTOGRAPHIC MODULE FOR  
SECURE PROCESSING OF VALUE-BEARING ITEMS**

Grp/Div. : 3621  
Examiner : Firmin Backer

Docket No. : 40628/SAH/S850

**INFORMATION DISCLOSURE STATEMENT WITH FEE**  
**UNDER 37 CFR §§ 1.97(c) AND 1.17(p)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
September 16, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to

**Application No. 09/688,452**

**Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. A copy of this paper is enclosed.**

**Respectfully submitted,**

**CHRISTIE, PARKER & HALE, LLP**

By 

**Art Hasan**

**Reg. No. 41,057**

**626/795-9900**

**SAH/tmw**

**Enclosures: Check \$180  
Copy of IDS  
Form PTO/SB/08A/B, w/references**

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C. Healion

Applicant : Craig L. Ogg, et al. Confirmation No. 1642  
Application No. : 09/688,452  
Filed : October 16, 2000  
Title : ROLE ASSIGNMENTS IN A CRYPTOGRAPHIC MODULE FOR  
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Grp./Div. : 3621  
Examiner : Firmin Backer  
Docket No. : 40628/SAH/S850

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June 14, 2005

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**Application No. 09/688,452**

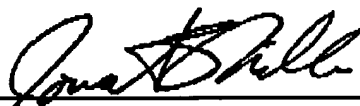
Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. A copy of this paper is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

  
Jonathan S. Miller  
Reg. No. 48,534  
626/795-9900

SAH/amb

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<b>FORM PTO/SB/08A/B (10-01)</b> Substitute for PTO-1449A/B  <b>INFORMATION DISCLOSURE</b>  <b>STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	<b>Attorney Docket Number</b>	40628/SAH/S850
	<b>Application Number</b>	09/688,452
	<b>Filing Date</b>	October 16, 2000
	<b>Applicant(s)</b>	Craig L. Ogg, et al.
	<b>Group Art Unit</b>	3621
	<b>Examiner Name</b>	Firmin Backer

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,384,886	01-24-1993	Rourke
		6,081,810	06-27-2000	Rosenzweig et al.
		6,098,058	08-01-2000	Gravell et al.
		6,151,591	11-21-2000	Pierce et al.
		6,226,752 B1	05-01-2001	Gupta et al.
		US 2003/0078893 A1	04-24-2003	Shah et al.

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> - Number <sup>3</sup> - Kind Code <sup>4</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>5</sup> (✓)

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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	<b>Examiner Name</b>	<b>Firmin Backer</b>

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		4,757,537	07-12-1988	Edelmann et al.
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		5,822,739	10-13-1998	Kara

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		5,918,234	06-29-1999	Shah et al.
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		EP 0 360 225 A2	03-28-1990	Pastor	
		EP 0 576 113 A2	12-29-1993	Epping et al.	
		EP 0 604 146 A3	06-29-1994	Herbert	
		EP 0 604 146 B1	11-26-1997	Herbert	
		EP 0 604 148 A3	06-29-1994	Herbert	

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		EP 0 647 925 A2	04-12-1995	Pintsov et al.	
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		WO 98/57460 A1	12-17-1998	Cordery et al.	
		WO 99/18514 A1	04-15-1999	Costigan et al.	

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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